



FORM PTO-1449		Atty. Docket No.: 1201.1136101	Serial No.: 10/015,202
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Alan H. Ostroff et al.	
		Customer No.: 7091	
		Filing Date: November 5, 2001	Group Art: 3762

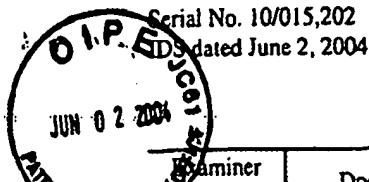
U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	H1,905	10/03/2000	Hill	
AB	3,653,387	04/04/1972	Ceier	
AC	3,710,374	01/09/1973	Kelly	
AD	3,911,925	10/14/1975	Tillery, Jr.	
AE	4,157,720	06/12/1979	Greatbatch	
AF	4,191,942	03/04/1980	Long	
AG	4,223,678	09/23/1980	Langer et al.	
AH	4,248,237	02/03/1981	Kenny	
AI	4,291,707	09/29/1981	Heilman et al.	
AJ	4,314,095	02/02/1982	Moore et al.	
AK	4,402,322	09/06/1983	Duggan	
AL	4,407,288	10/04/1983	Langer et al.	
AM	4,424,818	01/10/1984	Doring et al.	
AN	4,450,527	05/22/1984	Sramek	
AO	4,567,900	02/04/1986	Moore	
AP	4,602,637	07/29/1986	Elmqvist et al.	
AQ	4,603,705	08/05/1986	Speicher et al.	
AR	4,693,253	09/15/1987	Adams	
AS	4,765,341	08/23/1988	Mower et al.	
AT	4,800,883	01/31/1989	Winstrom	
AU	4,830,005	05/16/1989	Woskow	
AV	4,944,300	07/31/1990	Saksena	
AW	5,105,810	04/21/1992	Collins et al.	
AX	5,109,842	05/05/1992	Adinolfi	

KOM

Kristen Mullen

10/14/04



Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AY	5,129,392	07/14/1992	Bardy et al.	
AZ	5,133,353	07/28/1992	Hauser	
BA	5,144,946	09/08/1992	Weinberg et al.	
BB	5,184,616	02/09/1993	Weiss	
BC	5,191,901	03/09/1993	Dahl et al.	
BD	5,203,348	04/20/1993	Dahl et al.	
BE	5,230,337	07/27/1993	Dahl et al.	
BF	5,255,692	10/26/1993	Neubauer et al.	
BG	5,261,400	11/16/1993	Bardy	
BH	5,300,106	04/05/1994	Dahl et al.	
BI	5,331,966	07/26/1994	Bennett et al.	
BJ	5,342,407	08/30/1994	Dahl et al.	
BK	5,366,496	11/22/1994	Dahl et al.	
BL	5,376,103	12/27/1994	Anderson et al.	
BM	5,376,104	12/27/1994	Sakai et al.	
BN	5,385,574	01/31/1995	Hauser et al.	
BO	5,391,200	02/21/1995	KenKnight et al.	
BP	5,405,363	04/11/1995	Kroll et al.	
BQ	5,411,539	05/02/1995	Neisz	
BR	5,411,547	05/02/1995	Causey, III	
BS	5,413,591	05/09/1995	Knoll	
BT	5,423,326	06/13/1995	Wang et al.	
BU	5,476,503	12/19/1995	Yang	
BV	5,509,923	04/23/1996	Middleman et al.	
BW	5,509,928	04/23/1996	Acken	
BX	5,531,765	07/02/1996	Pless	
BY	5,531,766	07/02/1996	Kroll et al.	
BZ	5,534,019	07/09/1996	Paspa	
CA	5,534,022	07/09/1996	Hoffmann et al.	
CB	5,597,956	01/28/1997	Ito et al.	

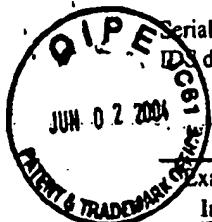


Serial No. 10/015,202
IDS dated June 2, 2004

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
CC	5,601,607	02/11/1997	Adams	
CD	5,603,732	02/18/1997	Dahl et al.	
CE	5,618,287	04/08/1997	Fogarty et al.	
CF	5,620,477	04/15/1997	Pless et al.	
CG	5,643,328	07/01/1997	Cooke et al.	
CH	5,645,586	07/08/1997	Meltzer	
CI	5,658,317	08/19/1997	Haefner et al.	
CJ	5,658,321	08/19/1997	Fayram et al.	
CK	5,674,260	10/07/1997	Weinberg	
CL	5,690,648	11/25/1997	Fogarty et al.	
CM	5,690,683	11/25/1997	Haefner et al.	
CN	5,697,953	12/16/1997	Kroll et al.	
CO	5,713,926	02/03/1998	Hauser et al.	
CP	5,766,226	06/16/1998	Pedersen	
CQ	5,776,169	07/07/1998	Schrooppel	
CR	5,814,090	09/29/1998	Latterell et al.	
CS	5,836,976	11/17/1998	Min et al.	
CT	5,895,414	04/20/1999	Sanchez-Zambrano	
CU	5,919,211	07/06/1999	Adams	
CV	5,919,222	07/06/1999	Hjelle et al.	
CW	5,925,069	07/20/1999	Graves et al.	
CX	5,935,154	08/10/1999	Westlund	
CY	5,941,904	08/24/1999	Johnston et al.	
CZ	6,014,586	01/11/2000	Weinberg et al.	
DA	6,026,325	02/15/2000	Weinberg et al.	
DB	6,058,328	05/02/2000	Levine et al.	
DC	6,093,173	06/25/2000	Balceta et al.	
DD	6,095,987	08/01/2000	Shmulewitz et al.	
DE	6,128,531	10/03/2000	Campbell-Smith	
DF	6,144,866	11/07/2000	Miesel et al.	

Kristen Muller

10/14/04



Serial No. 10/015,202
IDS dated June 2, 2004

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
DG	15M 6,185,450	02/06/2001	Seguine et al.	
DH	6,411,844	06/25/2002	Kroll et al.	
DI	6,647,292	11/11/2003	Bardy et al.	
DJ	KOM 2001/027330 A1	10/04/2001	Sullivan et al.	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation Yes No
DK	DE 298 01 807 U1	07/16/1998	Germany	Yes
DL	EP 0 095 727 A1	12/07/1983	Europe	
DM	EP 0 316 616 A2,3	05/24/1989	Europe	Yes
DN	EP 0 347 353 A1	12/20/1989	Europe	Yes
DO	EP 0 517 494 A3	12/09/1992	Europe	
DP	EP 0 517 494 B1	12/09/1992	Europe	
DQ	EP 0 518 599 A2	12/16/1992	Europe	
DR	EP 0 518 599 B1	12/16/1992	Europe	
DS	EP 0 536 873 B1	04/14/1993	Europe	
DT	EP 0 586 858 B1	03/16/1994	Europe	
DU	EP 0 627 237 A1	12/07/1994	Europe	
DV	EP 0 641 573 A2	03/08/1995	Europe	
DW	EP 0 641 573 A3	03/08/1995	Europe	
DX	EP 0 677 301 A1	10/18/1995	Europe	
DY	EP 0 917 887 A1	05/26/1999	Europe	
DZ	EP 0 923 130 A1	06/16/1999	Europe	Yes
EA	EP 1 000 634 A1	05/17/2000	WIPO	Yes
EB	WO 93/19809 A1	10/14/1993	WIPO	
EC	WO 97/29802 A2	08/21/1997	WIPO	
ED	WO 98/25349 A1	06/11/1998	WIPO	
EE	WO 99/03534 A1	01/28/1999	WIPO	
EF	WO 99/37362 A1	07/29/1999	WIPO	
EG	WO 99/53991 A1	10/28/1999	WIPO	
EH	WO 00/41766 A1	07/20/2000	WIPO	

Kriste Mullens

Page 4 of 5

10/14/04



Serial No. 10/015,202
US dated June 2, 2004

		Document No.	Date	Country	Translation Yes No
EI	LDM	WO 00/50120 A1	08/31/2000	WIPO	
EJ		WO 01/43649 A1	06/21/2001	WIPO	
EK		WO 01/56166 A2	08/02/2001	WIPO	
EL		WO 02/22208 A2	03/21/2002	WIPO	
EM		WO 02/22208 A3	03/21/2002	WIPO	
EN		WO 02/24275 A2	03/28/2002	WIPO	
EO		WO 02/24275 A3	03/28/2002	WIPO	
EP	✓	WO 02/068046 A1	09/06/2002	WIPO	
EQ	KDM	WO 03/018121 A2	03/06/2003	WIPO	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

ER	KDM	Friedman, Richard A. et al., "Implantable Defibrillators In Children: From Whence to Shock," <u>Journal of Cardiovascular Electrophysiology</u> , Vol. 12, No. 3, March 2001, pp. 361-362.
ES		Gradaus, Rainer et al., "Nonthoracotomy Implantable Cardioverter Defibrillator Placement in Children: Use of Subcutaneous Array Leads and Abdominally Placed Implantable Cardioverter Defibrillators in Children," <u>Journal of Cardiovascular Electrophysiology</u> , Volume 12, No.3, March 2001, pp. 356-360.
ET		Mirowski, M. et al., "Automatic Detection and Defibrillation of Lethal Arrhythmias-A New Concept," <u>JAMA</u> , Vol. 213, No. 4, July 27, 1970, pp. 615-616.
EU		Olson, Walter H. et al., "Onset and Stability for Ventricular Tachyarrhythmia Detection in an Implantable Pacer-Cardioverter-Defibrillator," <u>IEEE</u> , (1987) pp. 167-170.
EV		Schuder, John C., "Completely Implanted Defibrillator," <u>JAMA</u> , Vol. 214, No. 6, November. 9, 1970. p. 1123 (single sheet).
EW		Schuder, John C., "The Role of an Engineering Oriented Medical Research Group in Developing Improved Methods and Devices for Achieving Ventricular Defibrillation: The University of Missouri Experience," <u>PACE</u> , Vol. 16, January 1993, pp. 95-124.
EX		Schuder, John C. et al., "Experimental Ventricular Defibrillation with an Automatic and Completely Implanted System," <u>Trans. Amer. Soc. Artif. Int. Organs</u> , Vol. XVI (1970) pp. 207-212.
EY		Schuder, John C. et al., "Standby Implanted Defibrillators," <u>Arch Intern. Med.</u> , Vol. 127, February 1971, p. 317 (single sheet).
EZ		Schuder, John C. et al., "Transthoracic Ventricular Defibrillation in the Dog with Truncated and Untruncated Exponential Stimuli," <u>IEEE Transactions on Bio-Medical Engineering</u> , Vol. BME-18, No. 6, November 1971, pp. 410-415.
FA		Tietze U. et al., "Halbleiter-Schaltungstechnik," ©Springer-Verlag (Berlin, Germany), (1991), pp. 784-786.
FB		Walters, R.A. et al., "Analog to Digital Conversion Techniques in Implantable Devices," <u>Annual International Conference of the IEEE Engineering in Medicine and Biology Society</u> , Vol. 13 No. 4 (1991) Pg. 1674-1676.

EXAMINER: Karen Muller DATE CONSIDERED: 10/14/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

O I P
JUN 02 2004
U.S. PATENT & TRADEMARK OFFICE
PTO-1449

FORM PTO-1449		Atty. Docket No.: 1201.1136101	Serial No.: 10/015,202
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Alan H. Ostroff et al.	
		Customer No.: 7091	
		Filing Date: November 5, 2001	Group Art: 3762

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	1,905	10/03/2000	Hill	
AB	3,653,387	04/04/1972	Ceier	
AC	3,710,374	01/09/1973	Kelly	
AD	3,911,925	10/14/1975	Tillery, Jr.	
AE	4,157,720	06/12/1979	Greatbatch	
AF	4,191,942	03/04/1980	Long	
AG	4,223,678	09/23/1980	Langer et al.	
AH	4,248,237	02/03/1981	Kenny	
AI	4,291,707	09/29/1981	Heilman et al.	
AJ	4,314,095	02/02/1982	Moore et al.	
AK	4,402,322	09/06/1983	Duggan	
AL	4,407,288	10/04/1983	Langer et al.	
AM	4,424,818	01/10/1984	Doring et al.	
AN	4,450,527	05/22/1984	Sramek	
AO	4,567,900	02/04/1986	Moore	
AP	4,602,637	07/29/1986	Elmqvist et al.	
AQ	4,603,705	08/05/1986	Speicher et al.	
AR	4,693,253	09/15/1987	Adams	
AS	4,765,341	08/23/1988	Mower et al.	
AT	4,800,883	01/31/1989	Winstrom	
AU	4,830,005	05/16/1989	Woskow	
AV	4,944,300	07/31/1990	Saksena	
AW	5,105,810	04/21/1992	Collins et al.	
AX	5,109,842	05/05/1992	Adinolfi	

JUN 02 2004

U.S. PATENT & TRADEMARK OFFICE
EXAMINER
Initial

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AY	5,129,392	07/14/1992	Bardy et al.	
AZ	5,133,353	07/28/1992	Hauser	
BA	5,144,946	09/08/1992	Weinberg et al.	
BB	5,184,616	02/09/1993	Weiss	
BC	5,191,901	03/09/1993	Dahl et al.	
BD	5,203,348	04/20/1993	Dahl et al.	
BE	5,230,337	07/27/1993	Dahl et al.	
BF	5,255,692	10/26/1993	Neubauer et al.	
BG	5,261,400	11/16/1993	Bardy	
BH	5,300,106	04/05/1994	Dahl et al.	
BI	5,331,966	07/26/1994	Bennett et al.	
BJ	5,342,407	08/30/1994	Dahl et al.	
BK	5,366,496	11/22/1994	Dahl et al.	
BL	5,376,103	12/27/1994	Anderson et al.	
BM	5,376,104	12/27/1994	Sakai et al.	
BN	5,385,574	01/31/1995	Hauser et al.	
BO	5,391,200	02/21/1995	KenKnight et al.	
BP	5,405,363	04/11/1995	Kroll et al.	
BQ	5,411,539	05/02/1995	Neisz	
BR	5,411,547	05/02/1995	Causey, III	
BS	5,413,591	05/09/1995	Knoll	
BT	5,423,326	06/13/1995	Wang et al.	
BU	5,476,503	12/19/1995	Yang	
BV	5,509,923	04/23/1996	Middleman et al.	
BW	5,509,928	04/23/1996	Acken	
BX	5,531,765	07/02/1996	Pless	
BY	5,531,766	07/02/1996	Kroll et al.	
BZ	5,534,019	07/09/1996	Paspa	
CA	5,534,022	07/09/1996	Hoffmann et al.	
CB	5,597,956	01/28/1997	Ito et al.	

JUN 02 2004

61R
JUN 2004
EXAMINER
TRADEMARK REGISTRATION
Initial

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
CC	5,601,607	02/11/1997	Adams	
CD	5,603,732	02/18/1997	Dahl et al.	
CE	5,618,287	04/08/1997	Fogarty et al.	
CF	5,620,477	04/15/1997	Pless et al.	
CG	5,643,328	07/01/1997	Cooke et al.	
CH	5,645,586	07/08/1997	Meltzer	
CI	5,658,317	08/19/1997	Haefner et al.	
CJ	5,658,321	08/19/1997	Fayram et al.	
CK	5,674,260	10/07/1997	Weinberg	
CL	5,690,648	11/25/1997	Fogarty et al.	
CM	5,690,683	11/25/1997	Haefner et al.	
CN	5,697,953	12/16/1997	Kroll et al.	
CO	5,713,926	02/03/1998	Hauser et al.	
CP	5,766,226	06/16/1998	Pedersen	
CQ	5,776,169	07/07/1998	Schroepel	
CR	5,814,090	09/29/1998	Latterell et al.	
CS	5,836,976	11/17/1998	Min et al.	
CT	5,895,414	04/20/1999	Sanchez-Zambrano	
CU	5,919,211	07/06/1999	Adams	
CV	5,919,222	07/06/1999	Hjelle et al.	
CW	5,925,069	07/20/1999	Graves et al.	
CX	5,935,154	08/10/1999	Westlund	
CY	5,941,904	08/24/1999	Johnston et al.	
CZ	6,014,586	01/11/2000	Weinberg et al.	
DA	6,026,325	02/15/2000	Weinberg et al.	
DB	6,058,328	05/02/2000	Levine et al.	
DC	6,093,173	06/25/2000	Balceta et al.	
DD	6,095,987	08/01/2000	Shmulewitz et al.	
DE	6,128,531	10/03/2000	Campbell-Smith	
DF	6,144,866	11/07/2000	Miesel et al.	

JUN 02 2004

U.S. TRADEMARK OFFICE
EXAMINER

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
DG	6,185,450	02/06/2001	Seguine et al.	
DH	6,411,844	06/25/2002	Kroll et al.	
DI	6,647,292	11/11/2003	Bardy et al.	
DJ	2001/027330 A1	10/04/2001	Sullivan et al.	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation Yes No
DK	DE 298 01 807 U1	07/16/1998	Germany	Yes
DL	EP 0 095 727 A1	12/07/1983	Europe	
DM	EP 0 316 616 A2,3	05/24/1989	Europe	Yes
DN	EP 0 347 353 A1	12/20/1989	Europe	Yes
DO	EP 0 517 494 A3	12/09/1992	Europe	
DP	EP 0 517 494 B1	12/09/1992	Europe	
DQ	EP 0 518 599 A2	12/16/1992	Europe	
DR	EP 0 518 599 B1	12/16/1992	Europe	
DS	EP 0 536 873 B1	04/14/1993	Europe	
DT	EP 0 586 858 B1	03/16/1994	Europe	
DU	EP 0 627 237 A1	12/07/1994	Europe	
DV	EP 0 641 573 A2	03/08/1995	Europe	
DW	EP 0 641 573 A3	03/08/1995	Europe	
DX	EP 0 677 301 A1	10/18/1995	Europe	
DY	EP 0 917 887 A1	05/26/1999	Europe	
DZ	EP 0 923 130 A1	06/16/1999	Europe	Yes
EA	EP 1 000 634 A1	05/17/2000	WIPO	Yes
EB	WO 93/19809 A1	10/14/1993	WIPO	
EC	WO 97/29802 A2	08/21/1997	WIPO	
ED	WO 98/25349 A1	06/11/1998	WIPO	
EE	WO 99/03534 A1	01/28/1999	WIPO	
EF	WO 99/37362 A1	07/29/1999	WIPO	
EG	WO 99/53991 A1	10/28/1999	WIPO	
EH	WO 00/41766 A1	07/20/2000	WIPO	

JUN 02 2004
U.S. PATENT & TRADEMARK OFFICE
C69

		Document No.	Date	Country	Translation Yes No
EI		WO 00/50120 A1	08/31/2000	WIPO	
EJ		WO 01/43649 A1	06/21/2001	WIPO	
EK		WO 01/56166 A2	08/02/2001	WIPO	
EL		WO 02/22208 A2	03/21/2002	WIPO	
EM		WO 02/22208 A3	03/21/2002	WIPO	
EN		WO 02/24275 A2	03/28/2002	WIPO	
EO		WO 02/24275 A3	03/28/2002	WIPO	
EP		WO 02/068046 A1	09/06/2002	WIPO	
EQ		WO 03/018121 A2	03/06/2003	WIPO	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

ER	Friedman, Richard A. et al., "Implantable Defibrillators In Children: From Whence to Shock," <u>Journal of Cardiovascular Electrophysiology</u> , Vol. 12, No. 3, March 2001, pp. 361-362.
ES	Gradaus, Rainer et al., "Nonthoracotomy Implantable Cardioverter Defibrillator Placement in Children: Use of Subcutaneous Array Leads and Abdominally Placed Implantable Cardioverter Defibrillators in Children," <u>Journal of Cardiovascular Electrophysiology</u> , Volume 12, No.3, March 2001, pp. 356-360.
ET	Mirowski, M. et al., "Automatic Detection and Defibrillation of Lethal Arrhythmias-A New Concept," <u>JAMA</u> , Vol. 213, No. 4, July 27, 1970, pp. 615-616.
EU	Olson, Walter H. et al., "Onset and Stability for Ventricular Tachyarrhythmia Detection in an Implantable Pacer-Cardioverter-Defibrillator," <u>IEEE</u> , (1987) pp. 167-170.
EV	Schuder, John C., "Completely Implanted Defibrillator," <u>JAMA</u> , Vol. 214, No. 6, November. 9, 1970, p. 1123 (single sheet).
EW	Schuder, John C., "The Role of an Engineering Oriented Medical Research Group in Developing Improved Methods and Devices for Achieving Ventricular Defibrillation: The University of Missouri Experience," <u>PACE</u> , Vol. 16, January 1993, pp. 95-124.
EX	Schuder, John C. et al., "Experimental Ventricular Defibrillation with an Automatic and Completely Implanted System," <u>Trans. Amer. Soc. Artif. Int. Organs</u> , Vol. XVI (1970) pp. 207-212.
EY	Schuder, John C. et al., "Standby Implanted Defibrillators," <u>Arch Intern. Med.</u> , Vol. 127, February 1971, p. 317 (single sheet).
EZ	Schuder, John C. et al., "Transthoracic Ventricular Defibrillation in the Dog with Truncated and Untruncated Exponential Stimuli," <u>IEEE Transactions on Bio-Medical Engineering</u> , Vol. BME-18, No. 6, November 1971, pp. 410-415.
FA	Tietze U. et al., "Halbleiter-Schaltungstechnik," ©Springer-Verlag (Berlin, Germany), (1991), pp. 784-786.
FB	Walters, R.A. et al., "Analog to Digital Conversion Techniques in Implantable Devices," <u>Annual International Conference of the IEEE Engineering in Medicine and Biology Society</u> , Vol. 13 No. 4 (1991) Pg. 1674-1676.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.